Real-time Music Synthesis in Java with the Metronome Garbage Collector

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It’s a Real-time World

- 30 MLOC
  1ms

- 80 MLOC
  10us - 100ms

- 100 MLOC
  10us - 10ms

- 50 MLOC
  5ms

- 60 MLOC
  10ms
Why Real-Time Java??

- **Traditional methodologies**
  - Highly restricted programming models with verifiable properties
  - And/Or low-level languages for explicit control
  - “ad-hoc low-level methods with validation by simulation and prototyping”

- **But: these methodologies do not scale**
  - Halting problem
  - Low productivity (low-level languages, hand-optimization)

- **And: complexity of real-time systems are growing extremely fast**
  - From isolated devices to integrated multi-level networked systems
  - Traditional methodologies break down
Why Not Real-time Java?

- **Garbage Collection**
  - Non-deterministic pauses from 100 ms to 1 second
  - Requirement for real-time behavior is 100 us to 10 ms
- **Dynamic (JIT) Compilation**
  - Unpredictable interruptions
  - Large variation in speed (10x)
- **Dynamic Loading and Resolution**
  - Semantics determined by run-time ordering
- **Optimization technology optimizes average case**
  - Thin locks, speculative in-lining, value prediction, etc.
  - Sometimes cause non-deterministic slowdowns
- ...

Indications in green = Live content
Indications in white  = Edit in master
Indications in blue    = Locked elements
Indications in black   = Optional elements
Demo: Synthesizer on Non-RT Java
Garbage Collection: Motivation & History

- Invented in 1960 by McCarthy for Lisp
  - Objects are reclaimed automatically when no longer in use

- Huge advantages:
  - No bugs due to freeing of memory still in use
  - Simpler interfaces since lifetime management not required
  - Type safety
  - Security

- Used in:
  - Lisp, Smalltalk, ML, Java, C#, Lua, Python, ...

- But not in:
  - C, C++, Pascal, Ada, Fortran, ...
Previous Partial Solutions to GC Problems

- Two main types
  - Generational Collection (Ungar)
  - Incremental Collection (Dijkstra, Yuasa)
- Many pathologies:
  - High nursery survival rate (1ms -> 40ms collection)
  - Atomic root snapshot (no thread scaling)
  - Unpredictable termination (“last” pointer problem, 100s of ms)
  - Inability to handle large objects in real-time
  - Uneven utilization (driven by allocation or pointer access)
  - Subject to fragmentation
  - High (sometimes unbounded) memory overhead
  - Failure to incrementalize weak reference, finalizers, strings, ...
Java for Real-time: Current Practice

- Avoid allocation after setup
  - Low-level programming, vulnerable to allocation by libraries
- Allocate from object pools
  - Only works for homogeneous objects, suffers from “free” bugs
- Use Scoped memory constructs of RTSJ
  - Manual, suffers from unpredictable run-time exceptions
- Use a generational collector
  - Puts off the inevitable, slow when survival rate is high
- Use an incremental collector
  - Often works but subject to numerous failure modes
- Use reference counting (automatic or manual)
  - Does not collect cycles (at least not predictably)
Metronome: RT GC without Pathologies

- All phases of collection incrementalized
- All collector work deferrable to next desired quantum
- Scheduling regular and guaranteed by metric (MMU)

- Threads processed independently
- Internal fragmentation bounded (parameter, use 1/8)
- External fragmentation prevented (on-demand minimal compaction)
- Large objects broken into pieces ("arraylets")
- Constant-time allocation
- Single-quantum termination

- Simple and provable feasibility: live memory, allocation rate
Metronome Capabilities

- A one week run:
  - Allocating up to 10 MB/s
  - 1000 threads
  - 1 GB heap
  - Objects up to 10 MB
  - Many phase changes

- **Zero** violations, 1.3 ms worst-case latency
IBM Real-Time Java (J9 Virtual Machine)

- **Metronome Real-time Garbage Collection**
  - Provides real-time without changing the programming model
- **RTSJ (Real-Time Specification for Java) - existing standard**
  - Scheduling
  - Scopes
- **Ahead-of-Time Compilation**
  - Ahead-of-time (AOT) compilation and JXE Linking
  - Removes JIT non-determinism, allows code to be moved into ROM
  - Class pre-loading

- **Real-time Linux**
  - Maximize use of existing patches; stabilize; add needed features
  - Contribute to open-source community

- **Status**
  - Shipping product since 8/06, over $100M contract revenue
  - In use in telecom, military, and financial industries
Harmonicon Java Synthesizer
Real-time MIDI Synthesis in Java

- Typical real-time music application
- Requires max 5-10ms latency, 1-2ms jitter

Harmonicon: *all-Java* synthesizer
  - SoundFont-2 wavetable synthesizer
  - 64-bit sample precision
  - Arbitrary polyphony (500 voices on current hardware)
  - Concurrent (multiprocessor) rendering
  - Modular, flexible, high-level design
  - Extensive use of object-orientation and dynamic allocation
Harmonicon Synthesizer Architecture
Experimental Evaluation

- **Experimental Environment**
  - Dual Opteron 250 CPUs (2.4 GHz, 1MB L2 cache)
  - M-Audio 2496 sound card (MIDI in, RCA out)
  - IBM Real-time Linux (RHEL 4 U2, 2.6.16 based)
  - IBM Websphere Real-time Java V1 SR1
  - Debussy’s *Doctor Gradus*, Piano 1 instrument, max polyphony 13
  - 44.1 KHz 32-bit stereo
  - Additional 8 MB/s memory load thread executing at all times

- **Measurements**
  - Evaluation of base MIDI latency/jitter
  - Absolute measurements vs. Kurzweil K2000R
  - Comparison of 4 garbage collectors
Demo: Synthesis with RT Java

1ms buffer
8 MB/s allocation
AOT compilation
Class preloading
Absolute Latency Measurements

- MIDI Pulse Generator
- MIDI Thru to MIDI & Line
- Synthesizer System Under Test
- ADC
- Latency Comparator
# Base MIDI Latency (milliseconds)

<table>
<thead>
<tr>
<th>Method</th>
<th>Min</th>
<th>Mean</th>
<th>Max</th>
<th>StDev</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALSA via C</td>
<td>0.340</td>
<td>0.347</td>
<td>0.362</td>
<td>0.011</td>
</tr>
<tr>
<td>Java Sound</td>
<td>0.385</td>
<td>1.455</td>
<td>3.197</td>
<td>0.701</td>
</tr>
<tr>
<td>ALSA via Java/JNI</td>
<td>0.385</td>
<td>0.406</td>
<td>0.430</td>
<td>0.011</td>
</tr>
</tbody>
</table>
## Harmonicon vs Kurzweil K2000R

<table>
<thead>
<tr>
<th></th>
<th>Min</th>
<th>Mean</th>
<th>Max</th>
<th>StDev</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Kurzweil K2000R</strong></td>
<td>2.925</td>
<td>3.909</td>
<td>4.897</td>
<td>0.570</td>
</tr>
<tr>
<td><strong>Harmonicon (1ms buffer)</strong></td>
<td>4.240</td>
<td>4.959</td>
<td>5.736</td>
<td>0.317</td>
</tr>
<tr>
<td><strong>Harmonicon (365us buffer, no GC)</strong></td>
<td>2.947</td>
<td>3.120</td>
<td>3.310</td>
<td>0.109</td>
</tr>
</tbody>
</table>
GC Comparisons: ALSA Underruns

![Graph showing underruns comparison between different generational and incremental Garbage Collectors.](image)
GC Comparisons: Audio Discontinuities

The graph illustrates the comparison of different garbage collection (GC) algorithms in terms of audio discontinuities. The x-axis represents the synthesizer period in milliseconds (ms), while the y-axis shows the number of audio discontinuities.

- **Generational** (green line with green diamonds): This shows the least number of discontinuities, indicating an efficient GC strategy.
- **Stop-the-World** (red line with red circles): This method shows higher discontinuities initially but decreases as the period increases.
- **Incremental** (orange line with orange triangles): This curve indicates a gradual increase in discontinuities with a sharp rise and plateau.
- **Metronome** (blue line with blue squares): This strategy has a high level of discontinuities throughout the period.

The graph helps in understanding the impact of different GC methods on audio quality and can guide in selecting the most suitable method depending on the application requirements.
Conclusions

- **Real-time music is practical in Java**
  - With real-time garbage collector
  - And ahead-of-time compilation and class preloading

- **Technology is not (yet) universally available...**
  ... but it will become more common
  - IBM (since 8/06), Sun (coming soon). BEA not really.
    - Still expensive for non-academic users
    - Over time will become more universally available
  - Linux RHEL5 RT in 2008, mainline in 2009?
Real-time Music Synthesis in Java using the Metronome Garbage Collector

http://www.research.ibm.com/metronome
Metronome: Transparent Real-time Java

C++ Application

Java Application

Java Application

C++ Runtime System

Garbage Collection

Java Runtime System (JVM)

Metronome

Java Runtime System

Manual, Unsafe
Predictable

Automatic, Safe
Unpredictable

Automatic, Safe
Predictable
Testbed 1: Autonomous Quad-rotor Helicopter

- Single-helicopter control
  - Fully custom design
  - Completely Java-based
  - 3 ms control loop period

- Key Goals
  - Validate with most critical physical control systems
  - Time-portable real-time software
  - Compositional real-time
    - Dynamic upload of other RT systems

[with Christoph Kirsch, University of Salzburg]